KET NO.: ALZA-0143

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

George V. Guittard, et al.

Confirmation No.: 8447

Application No.: 10/645,715

Group Art Unit: 1614

Filing Date: August 20, 2003

Examiner: George, Konata M.

For: METHOD FOR MANAGEMENT OF INCONTINENCE

DATE OF DEPOSIT: January 19, 2006

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-

TYPED NAME: Wendy A. C **REGISTRATION NO.: 36,697**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

	In accordance with § 1.97(b), since this Information Disclosure Statement is
	being filed either within three months of the filing date of the above-identified
000034	application, within three months of the date of entry into the national stage of 10645715

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the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required. In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore: П Certification in Accordance with § 1.97(e) is attached; or 冈 The fee of \$180.00 as set forth in \$1.17(p) is attached. In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in \$1.17(p). Copies of reference numbers 1 through 364 listed on the attached Form PTO-1449 are enclosed herewith. Copies of reference numbers on the attached Form PTO 1449 are not required to be submitted pursuant to the waiver of 37 CFR § 1.98(a)(2)(ii). are not being submitted because Copies of references they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

REMARKS

Pursuant to 37 C.F.R. § 1.98, the art identified in the appended documents and other information and matters discussed below may be helpful to the U.S. Patent and Trademark Office (PTO) in its consideration of the above-identified patent application.

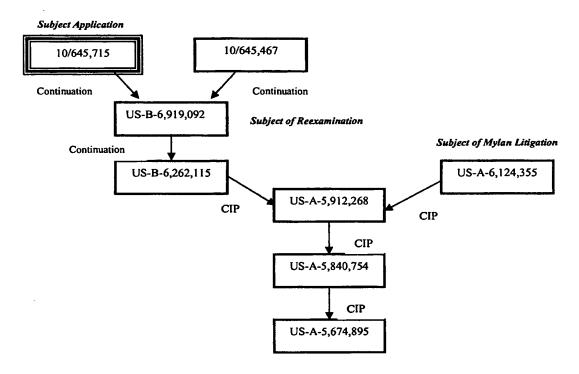
ALZA Corporation, the assignee of this application, has filed a Request for Reexamination of claims 1 to 23 of related U.S. Patent No. 6,919,092 ("092 Patent"). This application is a continuation of Application No. 09/785,805, which issued as the 092 Patent. The reexamination of the 092 Patent was assigned to Examiner Evelyn Mei Huang, and has been granted.

IMPAX Laboratories, Inc. and Mylan Pharmaceuticals Inc. have both filed certificates with the U.S. Food and Drug Administration, pursuant to 21 U.S.C. § 355(j)(2)(A)(vii)(IV) ("Paragraph IV Certifications"), alleging that, *inter alia*, the claims of the 092 Patent are invalid either under 35 U.S.C. § 102(b) as anticipated and/or under 35 U.S.C. § 103(a) as obvious. ALZA does not agree, and believes that the references cited in the Paragraph IV Certifications, alone or in combination, do not render claims 1 to 23 of the patent unpatentable or invalid.

In addition, IMPAX alleges in its Paragraph IV Certification that ALZA engaged in inequitable conduct for failing to identify and disclose to the Office litigations involving U.S. Patent No. 6,124,355 and certain references that are identified in the accompanying Form PTO-1449. ALZA denies that it withheld any material information with the intent to mislead the Patent Office.

U.S. Patents Nos. 5,674,895, 5,840,754, 5,912,268, 6,124,355 (the "355 Patent"), and 6,262,115, which share a claim of priority with the instant patent application, are or have been the subject of litigation, *i.e.*, ALZA Corporation v. IMPAX Laboratories, Inc., Civil Action Nos. 03-04032-VRW (N.D. Calif.), IMPAX Laboratories v. ALZA Corporation, Inc., Civil Action Nos. 03-04796-VRW (N.D. Calif.) (both N.D. Calif. Cases collectively referred to as the "IMPAX Litigations"), and ALZA Corporation v. Mylan Laboratories, Inc., Civil Action No. 1:03CV61 (N.D. W.Va.) ("Mylan Litigation").

The 355 Patent is a continuation-in-part of Application No. 08/806,773, filed February 26, 1997, now U.S. Patent No. 5,912,268, which is continuation-in-part of Application No. 08/706,576, filed September 5, 1996, now U.S. Patent No. 5,840,754, which is a continuation-in-part of Application 08/445,849, filed May 22, 1995, now U.S. Patent No. 5,674,895.



The only litigation to proceed to trial is the Mylan Litigation concerning the 355 Patent. Both the Mylan and IMPAX courts interpreted the 355 Patent in the same way. As discussed more fully below, a final decision has been entered in the Mylan Litigation holding the 355 Patent claims in suit invalid and not infringed, and as a result Judgment was entered in the IMPAX Litigation concerning the 355 Patent without considering the merits. The litigations concerning patents other than the 355 Patent were dismissed after ALZA granted Mylan and IMPAX covenants not to sue.

ALZA is submitting in Appendix I a Form PTO-1449 listing, *inter alia.*, certain patents, printed publications, and other materials that came to the Patent Owner's attention during the above-noted litigations. A copy of each of the documents is included with the Form PTO-1449. The Examiner is requested to indicate consideration of each reference or document with an initial in the left hand column next to each reference or document.

As is typical in a patent litigation, Mylan and IMPAX raised a wide range of different defenses relating to the scope, validity, and enforceability of the 355 Patent, all of which are vigorously disputed by ALZA, but may be relevant in some cases to the pending claims. The Court entered judgment in Mylan's and favor invalidating the 355 Patent claims in suit. During the protracted proceedings, the litigants conducted extensive discovery and there were numerous motions and memoranda filed by the litigants with respect to various issues.

To the extent not precluded by the Protective Orders entered by the respective courts in the Mylan Litigation and IMPAX Litigations, Applicants' undersigned counsel is available to immediately provide a copy of all materials generated during these litigations (note that not all constitute prior art). ALZA has made an extensive and good faith effort to present disclosure of such materials that may be relevant to the prosecution of this pending application, namely materials that may be relevant to: (1) the identification and scope of patent and printed prior art; (2) the interpretation and scope of the claims in the pending application; and (3) application of the art to the claims in the pending application.

ALZA recognizes the burden placed on the Examiner by the large volume of materials being provided pursuant to the Information Disclosure Statement. To further facilitate the Examiner's consideration of these materials, but without attempting to usurp the Examiner's opportunity to fully consider each item, ALZA has in the table in Appendix II categorized the disclosure of each document generated in the Mylan Litigation and IMPAX Litigation, which may serve as a helpful guide to direct the Examiner to certain materials that may be relevant to specific subject matter and issues. Each document is categorized

according to whether it contains a discussion or disclosure of, or reference to, one or more of the following subjects; the drug oxybutynin; controlled release delivery of drug (oxybutynin or otherwise); and Ditropan XL — naturally there is a great deal of overlap and so, to some extent, these characterizations may be partially subjective. The chart also indicates whether the document is litigation related. Finally, in the last column, where a document has been referred to in briefs and other filings submitted by the parties in the litigations, a citation is given by reference to a Tab Number. For the Examiner's convenience, the briefs and other filings referred to by Tab Number in the chart have been collected and submitted in the bound volumes accompanying this Supplemental Information Disclosure Statement.

ALZA also submits herewith the Post-Trial Memorandum Opinion and Order that the District Court recently issued in the Mylan Litigation; this document is also listed on the enclosed Form PTO-1449. Although the District Court held the claims of the 355 Patent to be invalid in view of certain references, ALZA does not believe that this holding is relevant to examination of the pending claims. Indeed, the respective claims of the 355 Patent and the above-identified patent application differ from one another, as is apparent from even a cursory review. For example, representative pending claim 40 of the above-identified patent application is directed to a method for managing incontinence by orally admitting to a patient a dosage form of 5-250 mg of oxybutynin or its salt to provide a a substantially zero order rate of release over 24 hours. The limitation of a "substantially zero order rate of release" is not recited in any claim of the 355 Patent. The other pending claims either depend directly or indirectly from claim 40 and thus recite the same limitation that is not recited the 355

¹ALZA believes that claims with these limitations are patentable and the District Court in the Mylan Litigation did not disagree. Rather, the court found (erroneously in ALZA's view) that the "zero-order release" and "constant rate of release" limitations are not included in the claims of the 355 patent. (Post-Trial Memorandum Opinion and Order, Reference 264 on Form PTO-1449, at page 40, footnote 12).

Patent claims. Thus, although ALZA wishes to make the Post-Trial Memorandum Opinion and Order of record for purposes of full disclosure, it is not believed to be relevant to patentability of the claims of the above-identified patent application.

ALZA respectfully requests that the Examiner indicate consideration of each reference or document with an initial in the left hand column next to each reference or document.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: January 19, 2006

Registration No. 36,697

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Facsimile: (215) 568-3439

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office Patent and Trademark Office Filing Date August 20, 2003 Confirmation No. 8447 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 1 Data Processed Using Dissprof Program (V1.1), Oxybutynin C1, Joint Exhibit 25, November 24, 2003 MYLAN 1014396-1014492 2 Skelly, J.P. et al., "In Vitro and In Vivo Testing and Correlation for Oral Controlled/Modified-Release Dosage Forms", Pharmaceutical Research, 1990, 7(9), 975-977, Joint Exhibit 67 3 Theeuwes, F. et al., "Osmotic Delivery Systems for the β-Adrenoceptor Antagonists Metoprolol and Oxprenolol: Design and Evaluation of Systems for Druce-Daily Administration, Br. J. Clin. Pharmac., 1985, 19, 695-765, Joint Exhibit 81, 4 Oxybutynin, ALZA/TDC Meeting, Friday July 15, 1993, Palo Alto, Ca. Defendant's Exhibits DX 00028, DXL-016926 thru DXL-016976 5 Ballard, B.E., "Prolonged-Action Pharmaceuticals", Chapter 91, Defendant's Exhibit DX 00043, 1594-1613 6 Corrigan, O.I. et al., "Influence of Dissolution Medium Buffer Composition on Ketoprofen Release from ER Products and in Viro—in Vivo Correlation", International Journal of Pharmaceutics, 2003, 147-154, Defendant's Exhibit DX 00408 7 Frick, A. et al., "Biopharmaceutical Characterization of Oral Controlled/Modified-Release Drug Products. In Vitro/in Vivo Correlation of Roxatidine", European Journal of Pharmaceutics, and Biopharmaceutics, 1998, 46, 313-319, Defendant's Exhibit DX 00431 9 Oregon Health Resources Commission, Urinary Incontinence (Update Report) Update #1, March 2004, 12 pages, Defendant's Exhibit DX 00431 9 Oregon Health Resources Commission, Urinary Incontinence (Update Report) Update #1, March 2004, 12 pages, Defendant's Exhibit DX 00432 9B Ouslander, J.G. et al., "Pharmacokinetics and Clinica Effects of Oxybutynin in Geriatric Patients", The Journal of Urology, 1988, 140, 47-50, Defendant's Exhibit DX 00431	ADEMI						
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330	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's "Markman" Claim Construction Memorandum, with attached Exhibits A thru C, July 14, 2004				
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EXAMINER			DATE CON	SIDERED	

U.S. Department of Commerce Patent and Trademark Office Confirm 8447 OTHER DOCUMENTS (Including Autl 333 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Actio Summary Judgment (#1) on the T Exhibit A 334 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Actio Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Actio	ate 20, 2003 ation No. or, Title, Date, for the Norther ion, Plaintiff, V n # 1:03CV61, Inreshold "Priori	Group 1614 Pertinent Pages, Etc.) n District of West Virginia, . Mylan Laboratories, Inc. Mylan			
Patent and Trademark Office Confirm 8447 OTHER DOCUMENTS (Including Autl 333 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action Summary Judgment (#1) on the T Exhibit A 334 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action	ation No. or, Title, Date, for the Norther ion, Plaintiff, V n # 1:03CV61, N nreshold "Priori	Pertinent Pages, Etc.) In District of West Virginia, I. Mylan Laboratories, Inc. Mylan Mylan's Motion for Partial			
OTHER DOCUMENTS (Including Aut) 333 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action Summary Judgment (#1) on the Texhibit A 334 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action	or, Title, Date, for the Norther ion, Plaintiff, V n # 1:03CV61, N nreshold "Priori	n District of West Virginia, Mylan Laboratories, Inc. Mylan Mylan's Motion for Partial			
In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action Summary Judgment (#1) on the Taxhibit A In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action	for the Norther ion, Plaintiff, V n # 1:03CV61, I nreshold "Priori	n District of West Virginia, Mylan Laboratories, Inc. Mylan Mylan's Motion for Partial			
Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action Summary Judgment (#1) on the T Exhibit A 334 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action	ion, Plaintiff, V n # 1:03CV61, N nreshold "Priori	. Mylan Laboratories, Inc. Mylan Mylan's Motion for Partial			
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2004	Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Motion for Summary Judgment (#5) of Invalidity based upon Lack of Novelty over the Prior Art, July 14,				
335 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action Opposition to Defendant's Motion	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Alza's Combined Memorandum in Opposition to Defendant's Motion for Summary Judgment on the Threshold Priority Issue (#1) and Invalidity based on Inherent Anticipation, August 20, 2004, with				
336 In the United States District Cour Clarksburg Office, Alza Corpora Pharmaceuticals, Inc., Civil Action	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Reply Memorandum in Support of Its Motion for Summary Judgment (#2) of Invalidity Based on Inherent				
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338 In the United States District Cour Corporation, Plaintiff, V. Mylan Civil Action # 1:03CV61, Mylan	In the United States District Court for the Northern District of West Virginia, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Supplemental Briefing on Kennecott and Alleged "Inherent Written Description", November 2, 2004				
EXAMINER	DATE CON				

Form PTO-1449 Modified		Docket No. ALZA-0143	Application No. 10/645,715		
Cited b	List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant George V. Guittard, et al.		
U.S. Department of Commerce Patent and Trademark Office		Filing Date August 20, 2003	Group 1614		
		Confirmation No. 8447			
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340	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Plaintiff's Pre-Trial Memorandum, December 22, 2004				
341	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Memorandum in Support of its Motion for Reconsideration, December 23, 2004				
342	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Motion for Reconsideration of the Court's Ruling on Summary Judgments # 1 and #2, December 24, 2004				
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344	In the United States District Court for the Northern District of West Virginia, Clarksburg Office, Alza Corporation, Plaintiff, V. Mylan Laboratories, Inc. Mylan Pharmaceuticals, Inc., Civil Action # 1:03CV61, Mylan's Reply Memorandum in Support of its Motion for Reconsideration, January 31, 2005				
EXAMINER		DATE CO	NSIDERED		

Form I	Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		ocket No. LZA-014		Application No. 10/645,715
C			Applicant George V. Guittard, et al.		
	U.S. Department of Commerce Patent and Trademark Office		iling Date		Group 1614
			Confirmati 8447	on No.	
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	347	· · · · · · · · · · · · · · · · · · ·			
	348	Mylan [Ditropan XL], Defendant's Exhibit DX 2016			
	349	Quarterly TX Market Share (Updated), Defendant's Exhibit DX 2017			
	350	Market Share Trends, Defendant's Exhibit DX 2018			
	351	J & J Worldwide Advertising Group media Budget Control Record(MBCR), Ditropan, Defendant's Exhibit DX 2019			
	352	DX 2020-Articles teaching that a Lower Dose of Oxybutynin is Effective and has Fewer Side Effects, Defendant's Exhibit DX 2020			
	353	Ditropan XL: BMEs as a Percent of Net Trade Sales, Defendant's Exhibit DX 2024			
	354	Alza Corporation Physician Advisory Board Meeting, Phoenix, AZ, 1998, Defendants Exhibit DX 2029			
	355	DX 2025			
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		Applicant George V. Guittard, et al.					
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35	AWP Price Per Day:	AWP Price Per Day: TID Oxy Products, Defendant's Exhibit's DX 2039					
358	Exhibit 2056.						
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360	Ditropan XL Profits Compared to J & J Investment, Defendant's Exhibit DX 2062						
36:	Ditropan XL Financial Data, Defendant's Exhibits DX 2063						
362	Exhibits DX 2065						
36.	Ditropan XI ® vs Detrol ® Spit Study, Post-Launch (Stage 5), Defendant's Exhibit DX 2067						
36	Physicians' Desk Reference, PDR®, 42 Edition, 1988, Ditropan Tablets and Syrup						
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EXAMINER		DATE O	CONSIDERED				